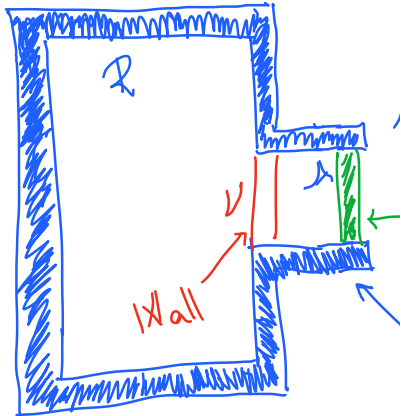


Let's now reconcile our statistical mechanics description with our (thermodynamics) Reservoir \equiv



$$N_R \gg N_A$$

$$E_R \gg N_A$$

Returning

$$H_{TOT} = H_A + H_R + V$$

↑ interaction does not any new or stat

$$\text{Tr}_R \left[i\hbar \frac{dD_{TOT}}{dt} \right] = \text{Tr}_R [H_{TOT}, D_{TOT}]$$

$$= \text{Tr}_R [H_R + H_A + V]$$

$$= \text{Tr}_R [H_R, D_{TOT}] + \text{Tr}_R [V, D_{TOT}]$$

Let's define Density operator

In the Heisenbe

$$i\hbar \frac{dD_{TOT}}{dt} = [$$

A note

$$A_{\alpha\beta}^{(\alpha)} = i$$

Taking only +

$$\text{Tr}_\alpha [\hat{A}^{(\alpha)} D] =$$

$$H_R = H_R^{(R)} \otimes \mathbb{I}^{(A)}$$

$$H_A = \mathbb{I}^{(R)} \otimes H_A^{(A)}$$

$$\textcircled{I} \quad i\hbar \frac{dD_A}{dt} = \underbrace{[H_A, D_A]}_{\text{because}} + \text{Tr}_R [V]$$

$$\text{Tr}_R [H_A, D_{TOT}] = \text{Tr}_R [H_A \mathbb{I}]$$

$$= H_A \text{Tr}_R [\mathbb{I}]$$

$$= 2 \text{Tr}_A D_A -$$

$$\begin{aligned}
 &= \sum_{\alpha} \sum_{r,c} \hat{A}_{\alpha\alpha;cr}^{(\alpha)} D_{cr;ba} \\
 &= \sum_{\alpha} \sum_{cr} A_{\alpha r}^{(\alpha)} f_{ac} D_{cr;ba} \\
 &= \sum_{\alpha} \sum_r A_{\alpha r}^{(\alpha)} D_{ar;ba} \\
 &= \sum_r \sum_{\alpha} \underbrace{D_{ar;ba} A_{\alpha r}^{(\alpha)}}_{\sum_c D_{ar;ca} A_{\alpha r}^{(\alpha)} f_{cb}} \\
 &= \sum_r \sum_{c\alpha} D_{ar;ca} \hat{A}_{c\alpha;br}^{(\alpha)} \\
 &= \sum_r \left[D \hat{A}^{(\alpha)} \right]_{ar;br} = \text{Tr}_{\alpha} D \hat{A}^{(\alpha)} \\
 &\quad r \in \{\alpha\}
 \end{aligned}$$

\Rightarrow The partial trace is also cyclic provided

$$\hat{A} = \hat{A}^{(\alpha)} \otimes \mathbb{I}^{(\alpha)}$$

$$\begin{aligned}
 \Rightarrow \text{Tr}_{\alpha} [[\hat{A}^{(\alpha)}, D]] &= \text{Tr}_{\alpha} [\hat{A}^{(\alpha)} D] - \text{Tr}_{\alpha} [D \hat{A}^{(\alpha)}] \\
 &= 0
 \end{aligned}$$



Returning

(3)

$$H_{\text{TOT}} = H_A + H_R + V$$

↑
interaction term:
does not include
any new variables
or states

$$\text{Tr}_R \left[i\hbar \frac{d\mathcal{D}_{\text{TOT}}}{dt} \right] = \text{Tr}_R [H_{\text{TOT}}, \mathcal{D}_{\text{TOT}}]$$

$$= \text{Tr}_R [H_R + H_A + V, \mathcal{D}_{\text{TOT}}]$$

$$H_R = H_R^{(R)} \otimes \mathbb{I}^{(A)}$$

$$H_A = \mathbb{I}^{(R)} \otimes H_A^{(A)}$$

$$= \text{Tr}_R [H_R, \mathcal{D}_{\text{TOT}}] + \text{Tr}_R [H_A, \mathcal{D}_{\text{TOT}}]$$

$$+ \text{Tr}_R [V, \mathcal{D}_{\text{TOT}}]$$

$$\textcircled{I} \quad i\hbar \frac{d\mathcal{D}_A}{dt} = \underbrace{[H_A, \mathcal{D}_A]} + \text{Tr}_R [V, \mathcal{D}_{\text{TOT}}]$$

because

$$\text{Tr}_R [H_A, \mathcal{D}_{\text{TOT}}] = \text{Tr}_R [H_A \mathcal{D}_{\text{TOT}}] - \text{Tr}_R [\mathcal{D}_{\text{TOT}} H_A]$$

$$= H_A \text{Tr}_R [\mathcal{D}_{\text{TOT}}] - \text{Tr}_R [\mathcal{D}_{\text{TOT}}] H_A$$

$$= H_A \mathcal{D}_A - \mathcal{D}_A H_A$$

4

With that in mind let's calculate the time evolution of the average energy in region Δ

$$E_{\Delta} = \text{Tr}_{\Delta} \mathcal{D}_{\Delta} H_{\Delta}$$

$$\frac{dE_{\Delta}}{dt} = \frac{d}{dt} \left(\text{Tr}_{\Delta} \left[\mathcal{D}_{\Delta} H_{\Delta} \right] \right) = \text{Tr}_{\Delta} \left(H_{\Delta} \frac{d\mathcal{D}_{\Delta}}{dt} \right) + \text{Tr}_{\Delta} \left(\mathcal{D}_{\Delta} \frac{dH_{\Delta}}{dt} \right)$$

$$\textcircled{1} \quad \text{Tr}_{\Delta} \left(H_{\Delta} \frac{d\mathcal{D}_{\Delta}}{dt} \right) = \text{Tr}_{\Delta} \left[H_{\Delta} \left\{ \left[H_{\Delta}, \mathcal{D}_{\Delta} \right] \frac{1}{i\hbar} + \frac{1}{i\hbar} \text{Tr}_{\mathcal{R}} \left[V, \mathcal{D}_{\text{TOT}} \right] \right\} \right]$$

$$= \frac{1}{i\hbar} \text{Tr}_{\Delta} \left[H_{\Delta} \left[H_{\Delta}, \mathcal{D}_{\Delta} \right] \right] + \frac{1}{i\hbar} \text{Tr}_{\Delta} \text{Tr}_{\mathcal{R}} \left(H_{\Delta} \left[V, \mathcal{D}_{\text{TOT}} \right] \right)$$

$$\left[\text{Tr}_{\Delta} \text{Tr}_{\mathcal{R}} \left(\hat{B} \right) = \text{Tr}_{\text{TOT}} \left(\hat{B} \right) \right]$$

$$\text{Tr}_{\Delta} \left[H_{\Delta} \left[H_{\Delta}, \mathcal{D}_{\Delta} \right] \right] = \text{Tr}_{\Delta} \left[H_{\Delta} H_{\Delta} \mathcal{D}_{\Delta} - H_{\Delta} \mathcal{D}_{\Delta} H_{\Delta} \right]$$

$$= \text{Tr}_{\Delta} \left[\underbrace{H_{\Delta} H_{\Delta} \mathcal{D}_{\Delta}}_{\text{cyclic}} \right] - \text{Tr}_{\Delta} \left[H_{\Delta} \mathcal{D}_{\Delta} H_{\Delta} \right]$$

$$= 0$$

⑤

$$\textcircled{1} \quad i\hbar \frac{dD_A}{dt} = \underbrace{[H_A, D_A]} + \text{Tr}_R [V, D_{\text{TOT}}]$$

because

$$\begin{aligned} \text{Tr}_R [H_A, D_{\text{TOT}}] &= \text{Tr}_R [H_A D_{\text{TOT}}] - \text{Tr}_R [D_{\text{TOT}} H_A] \\ &= H_A \text{Tr}_R [D_{\text{TOT}}] - \text{Tr}_R [D_{\text{TOT}}] H_A \\ &= H_A D_A - D_A H_A \end{aligned}$$

$$\begin{aligned} \textcircled{1} \quad \text{Tr}_A \left(H_A \frac{dD_A}{dt} \right) &= \frac{1}{i\hbar} \text{Tr}_{\text{TOT}} \underbrace{[H_A V D_{\text{TOT}} - H_A D_{\text{TOT}} V]}_{\text{cyclical}} \\ &= \frac{1}{i\hbar} \text{Tr}_{\text{TOT}} [D_{\text{TOT}} [H_A, V]] \end{aligned}$$

Notes: ① average of a quantity

$$= \frac{1}{i\hbar} \langle [H_A, V] \rangle_{\text{TOT}}$$

② involves the coupling to the reservoir \Rightarrow heat

$$\textcircled{2} \quad \text{Tr}_A \left(D_A \frac{dH_A}{dt} \right) \equiv \text{Work}$$

Notes: ① average of a quantity
 $\langle \frac{dH_A}{dt} \rangle$

② changes to the system from external factors

6

In differential form

① + ②

$$dE = \underbrace{\text{Tr}(H dD)}_{dQ} + \underbrace{\text{Tr}(D dH)}_{dW}$$

1st law

Now let's remember

$$\langle \hat{A}_j \rangle = \frac{\partial \ln Z[\lambda]}{\partial \lambda_j}$$

For the canonical ensemble (we know average energy)

$$\lambda_1 = -\beta$$

$$\hat{A}_1 \equiv \hat{H}$$

$\beta \geq 0$ (the minus sign will be clear shortly)

$$D_B = \frac{1}{Z} \exp -\beta \hat{H}$$

and

$$\langle \hat{H} \rangle = E = -\frac{\partial \ln Z}{\partial \beta}$$

(7)

The entropy

$$S_B = -k_B \text{Tr} [D_B \ln D_B]$$

$$d(x \ln x) = dx \ln x + x \frac{dx}{x} = \ln x + 1$$

In differential form

$$dS_B = -k_B \text{Tr} [\ln D_B dD_B + dD_B]$$

$$= -k_B \text{Tr} [\ln D_B dD_B] - k_B \text{Tr} [dD_B]$$

$$- \ln Z - \beta H$$

$$\text{Tr} D_B = 1$$

$$\Rightarrow \text{Tr} [dD_B] = 0$$

$$= +k_B \text{Tr} [\ln Z dD_B] + k_B \beta \text{Tr} [H dD_B]$$

$$\ln Z \text{Tr} [dD_B]$$

scalar

$$dQ = \text{Tr} [H dD_B]$$

$$\Rightarrow dS_B = k_B dQ$$

From thermodynamics definition of entropy in quasi-static processes

$$dS = \frac{dQ}{T}$$

$$\Rightarrow dS_B = k_B T dS$$

$$\textcircled{1} \quad \frac{\partial S_B}{\partial \beta} = k_B T \frac{\partial S}{\partial \beta}$$

(8)

$$\textcircled{2} \quad \frac{\partial S_B}{\partial x_i} = k_B T \frac{\partial S}{\partial x_i}$$

where x_i is some external parameter used by experimentalists to alter the Hamiltonian

From (2)

$$\frac{\partial^2 S_B}{\partial \beta \partial x_i} = \frac{\partial}{\partial \beta} \left(k_B T \frac{\partial S}{\partial x_i} \right) = \frac{\partial^2 S}{\partial \beta \partial x_i} k_B T + \frac{\partial (k_B T)}{\partial \beta} \frac{\partial S}{\partial x_i}$$

From (1)

$$\frac{\partial^2 S_B}{\partial x_i \partial \beta} = \frac{\partial (k_B T)}{\partial x_i} \frac{\partial S}{\partial \beta} + k_B T \frac{\partial^2 S}{\partial \beta \partial x_i}$$

The minimal model that makes (1) and (2) consistent is

$$k_B T = \text{constant}$$

$$\frac{\partial (k_B T)}{\partial \beta} = \frac{\partial (k_B T)}{\partial x_i} = 0$$

$$\Rightarrow dS_B = dS$$

We have freedom to choose k_B provided it is positive $S[D] \geq 0$

$$\beta = \frac{1}{kT} \quad \left| \begin{array}{l} \text{for} \\ \text{equilibrium} \\ \text{quasi-static} \\ \text{process} \end{array} \right.$$

Integrating to obtain the entropy (9)

$$\int_0^T dS_B = \int_0^T dS$$

$$S_B(T) - S_B(0) = S(T) - S(0)$$

From the third law $\lim_{T \rightarrow 0} \lim_{V \rightarrow \infty} \frac{1}{V} S = 0$

From QM as $T \rightarrow 0$ the system tends to be in the ground state

$$S_B \rightarrow 0$$

$\Rightarrow S_B = S$

The microscopically obtained Boltzmann entropy is equal to the Thermodynamics entropy

Assuming now that all we know about the number of particles in the system is

$$\langle N \rangle = \text{Tr} \mathcal{D}_B \hat{N} \leftarrow \text{Number operator}$$

$$\Rightarrow \mathcal{D}_B = \frac{1}{Z} \exp[-\beta \hat{H} + \alpha \hat{N}]$$

\uparrow
 Lagrange
 multiplier

(10)

In differential form

$$dS_B = -k_B \text{Tr} [d\mathcal{D}_B (\ln \mathcal{D}_B + 1)]$$

$$= -k_B \text{Tr} [d\mathcal{D}_B \ln \mathcal{D}_B] + \cancel{\text{Tr} [d\mathcal{D}_B]} \quad \nearrow 0$$

$$= -k_B \text{Tr} [-\ln Z d\mathcal{D}_B - \beta H d\mathcal{D}_B + \alpha \hat{N} d\mathcal{D}_B]$$

$$= k_B \beta \text{Tr} (H d\mathcal{D}_B) - k_B \alpha \overbrace{\text{Tr} (\hat{N} d\mathcal{D}_B)}^{dN}$$

considering no external parameter is
 changed $\Rightarrow dE$

$$\Rightarrow dS_B = k_B \beta dE - k_B \alpha dN$$

From Thermodynamics

$$dS = T dE - \frac{\mu}{T} dN$$

At the same time

(11)

$$S_B = S \rightarrow \text{Thermodynamic entropy}$$

$$\Rightarrow \beta = \frac{1}{k_B T}$$

$$k_B \alpha = \frac{N}{T}$$