Lattice Regularization of ϕ^4 Theory

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Outline



Introduction to Lattice Regularization

Lattice Formalism

- In the Scalar Field on the Lattice
- (4) Interacting Theory: ϕ^4 on the Lattice
 - Renormalization
- 6 The Renormalization Group Equation (RGE)
- Summary and Key Takeaways

- In Quantum Field Theory, calculations often lead to divergent integrals, especially in loop diagrams.
- These infinities arise from integrating over all possible momentum values, up to infinity.
- Lattice regularization make these integrals mathematically well-defined by discretizing spacetime itself, which naturally imposes a momentum cutoff.

The Lattice: A Discretized Spacetime

- We replace continuous Euclidean spacetime with a discrete grid of points.
- This grid is a hypercubical lattice, defined as:

$$\Lambda = a\mathbb{Z}^4 = \{x \mid x_\mu/a \in \mathbb{Z}\}$$

where *a* is the **lattice spacing**, the smallest distance between two points.

• Continuous integrals are replaced by discrete sums:

$$\int d^4x \quad \longrightarrow \quad a^4 \sum_{x \in \Lambda}$$

• The scalar field $\phi(x)$ is now only defined at the points x on the lattice Λ .

Finite Difference Operators

- Continuous derivatives are replaced by finite differences on the lattice.
- Forward Derivative:

$$\Delta^f_{\mu}\phi(x) = \frac{1}{a}[\phi(x+a\hat{\mu}) - \phi(x)]$$

Backward Derivative:

$$\Delta^b_\mu \phi(x) = \frac{1}{a} [\phi(x) - \phi(x - a\hat{\mu})]$$

where $\hat{\mu}$ is the unit vector in the μ direction.

- The lattice analogue of integration by parts is "summation by parts".
- It connects the forward and backward derivatives:

$$a^4 \sum_{x} (\Delta^f_{\mu} \phi(x)) \varphi(x) = -a^4 \sum_{x} \phi(x) (\Delta^b_{\mu} \varphi(x))$$

(Assuming boundary terms vanish, often by using periodic boundary conditions).

• This property is crucial for manipulating lattice actions in a way that mirrors the continuum.

The Lattice d'Alembert Operator

- The d'Alembert operator (□ = -∂_µ∂^µ in the continuum) is built from the difference operators.
- Applying summation by parts to the kinetic term:

$$a^4\sum_x (\Delta^f_\mu\phi)(\Delta^f_\mu\phi) = -a^4\sum_x \phi(\Delta^b_\mu\Delta^f_\mu\phi)$$

• This defines the lattice d'Alembertian:

$$\Box \equiv -\Delta^b_\mu \Delta^f_\mu$$

• Its explicit action on a field $\phi(x)$ is:

$$\Box \phi(x) = \sum_{\mu} \frac{1}{a^2} [2\phi(x) - \phi(x + a\hat{\mu}) - \phi(x - a\hat{\mu})]$$

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The Free Field Action

• The continuum action for a free scalar field is:

$$S_0[\phi] = \int d^4x rac{1}{2} \phi(x) (-\partial_\mu \partial^\mu + m^2) \phi(x)$$

• On the lattice, this becomes:

$$S_0[\phi, a] = rac{1}{2} \sum_x a^4 \left[(\Delta^f_\mu \phi(x)) (\Delta^f_\mu \phi(x)) + m^2 \phi(x)^2
ight]$$

 Using the definition of the lattice
 operator, this can be written more compactly as:

$$S_0[\phi, a] = \frac{1}{2} \sum_{x,y} a^8 \phi(x) (\Box + m^2)_{x,y} \phi(y)$$

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- The propagator G(x, y; a) is the inverse of the kernel of the action, $(\Box + m^2)$.
- It is the solution to the equation:

$$\sum_{y} a^4 (\Box + m^2)_{x,y} \mathcal{G}(y,z;a) = a^{-4} \delta_{x,z}$$

• To solve this, we move to momentum space using a Fourier transform.

Momentum Space and the Brillouin Zone

 For a finite lattice with periodic boundary conditions, allowed momenta are also discrete. For a lattice of size L_μ in each direction:

$$p_{\mu} = rac{2\pi}{aL_{\mu}}n_{\mu}, \quad n_{\mu} = 0, 1, ..., L_{\mu} - 1$$

• In the infinite volume limit $(L_{\mu} \rightarrow \infty)$, the momentum values become continuous but are restricted to a finite range:

$$-\frac{\pi}{a} \leq p_{\mu} \leq \frac{\pi}{a}$$

This range is called the first Brillouin Zone.

• The lattice spacing *a* introduces a maximum momentum, or an "ultraviolet (UV) cutoff".

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The Propagator in Momentum Space

The solution of the propagator equation is obtained through Fourier transformation:

$$G(x,y;a) = \int_{-\pi/a}^{\pi/a} \frac{d^4p}{(2\pi)^4} e^{ip \cdot (x-y)} \tilde{G}(p;a),$$

• After transforming the defining equation, one finds:

$$\left\{\sum_{\mu}rac{2}{a^2}(1-\cos(ap_\mu))+m^2
ight\} ilde{G}(p;a)=1$$

• We get the final form:

$$\tilde{G}(p;a) = \left\{\sum_{\mu} \frac{4}{a^2} \sin^2\left(\frac{ap_{\mu}}{2}\right) + m^2\right\}^{-1}$$

The Propagator in Momentum Space

• In the continuum limit $(a \rightarrow 0)$, $\sin(ax)/a \approx x$, and we recover the familiar continuum propagator

$$\tilde{G}(p)=(p^2+m^2)^{-1}$$

• Simplification: For calculations, we often set a = 1 and define $\hat{p}_{\mu} = 2\sin(p_{\mu}/2)$. The propagator then takes a familiar form:

$$ilde{G}(p)\equiv ilde{\Delta}(p)=rac{1}{\hat{p}^2+m^2}$$

Lattice Perturbation Theory

- Primary Role of Lattice:
 - Acts as a regularization method.
 - Mainly enables non-perturbative methods (e.g., for strongly coupled theories like QCD).
- Why Perturbation Theory on a Lattice?
 - Lattice perturbation theory provides the bridge to match non-perturbative lattice simulations with the continuum perturbation theory.
 - Estimating lattice artifacts (e.g., finite-volume effects).

The ϕ^4 Action and Perturbation Theory

• We add the simplest interaction term to the action:

$$S = \sum_{x} a^{4} \left\{ rac{1}{2} (\Delta^{f}_{\mu} \phi_{0})^{2} + rac{m_{0}^{2}}{2} \phi_{0}^{2} + rac{\lambda_{0}}{4!} \phi_{0}^{4}
ight\}$$

The subscript '0' indicates these are **bare** parameters, not the physically observed ones.

- We can now do perturbation theory using Feynman diagrams. The rules are similar to the continuum, with key differences:
 - ()each line is associated with a propagator $\tilde{\Delta}(q)$,
 - 2 each vertex is an end point of four lines and is associated with a factor $-\lambda_0$,
 - (3) at inner vertices momentum conservation holds modulo 2π ,
 - Ioop momenta are integrated over the first Brillouin Zone.

Two-Loop Correction to the Propagator (two-Point Function)

We want to compute the two-point function, $\Gamma^{(2)}(p) = -\tilde{G}(p)^{-1}$.



Figure: Diagrams for the two-point vertex function up to two loops.

Two-Loop Correction to the Propagator (two-Point Function)

• The calculation gives:

$$\begin{split} -\tilde{G}(p)^{-1} &= -\left(\hat{p} + m_0^2\right) - \frac{\lambda_0}{2}J_1(m_0) + \frac{\lambda_0^2}{4}J_1(m_0)J_2(m_0) \\ &+ \frac{\lambda_0^2}{6}I_3(m_0, p) + O\left(\lambda_0^3\right) \end{split}$$

where

$$J_n(m_0)\equiv\int_q ilde{\Delta}(q)^n,$$
 $I_3(m_0,p)\equiv\int_{q_1}\int_{q_2} ilde{\Delta}(q_1) ilde{\Delta}(q_2) ilde{\Delta}(p-q_1-q_2)$

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One-Loop Correction to the Vertex (four-Point Function)

Next, we compute the 4-point function, $\Gamma^{(4)}$, at one-loop.



Figure: Diagrams for the four-point vertex function up to one loop contribution.

One-Loop Correction to the Vertex (four-Point Function)

• The vertex function is given by:

$$\Gamma^{(4)}(p_i) = -\lambda_0 + \frac{\lambda_0^2}{2} [I_2(m_0, p_1 + p_2) + I_2(m_0, p_1 + p_3) + I_2(m_0, p_1 + p_4)]$$

• Where the loop integral I_2 is defined as:

$$I_2(m_0,p) = \int_q ilde{\Delta}(q) ilde{\Delta}(p-q)$$

• At zero external momenta, this simplifies to:

$$\Gamma^{(4)}(0,0,0,0) = -\lambda_0 + rac{3}{2}\lambda_0^2 J_2(m_0) + O(\lambda_0^3)$$

where $J_2(m_0) = I_2(m_0, 0) = \int_q \tilde{\Delta}(q)^2$.

- When we take the continuum limit, $a \rightarrow 0$. We want our physical predictions to be independent of the regulator.
- If we held the bare parameters (m₀, λ₀) fixed while sending a → 0, our calculated "physical" mass and coupling would blow up. This is physically meaningless.
- We impose **renormalization conditions** on our calculated Green's functions to define the renormalized quantities.

Renormalization Conditions

- We define the renormalized field $\phi_R = Z_R^{-1/2} \phi_0$, where Z_R is the wave function renormalization factor. The renormalized Green's functions are $\Gamma_R^{(n)} = Z_R^{n/2} \Gamma^{(n)}$.
- Condition 1 & 2 (Mass and Wave Function): We define the renormalized mass m_R and Z_R by the behavior of the full inverse propagator at small momentum:

$$\tilde{G}(p)^{-1} \equiv \frac{1}{Z_R}(m_R^2 + p^2 + O(p^4))$$

 Condition 3 (Coupling): We define the renormalized coupling λ_R as the value of the 4-point function at zero external momenta:

$$\lambda_R \equiv -\Gamma_R^{(4)}(0,0,0,0)$$

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Renormalization at One-Loop

• Applying conditions to the 2-point function:

$$ilde{G}(p)^{-1} = (\hat{p}^2 + m_0^2) + rac{\lambda_0}{2} J_1(m_0) pprox p^2 + \left(m_0^2 + rac{\lambda_0}{2} J_1(m_0)
ight)$$

- At this order, the momentum dependence is unchanged, so $Z_R = 1 + O(\lambda_0^2)$.
- The renormalized mass is:

$$m_R^2 = m_0^2 + rac{\lambda_0}{2} J_1(m_0) + O(\lambda_0^2)$$

Renormalization at One-Loop

• Applying conditions to the 4-point function:

$$\Gamma^{(4)}(0,0,0,0) = -\lambda_0 + rac{3}{2}\lambda_0^2 J_2(m_0)$$

With $Z_R = 1$, we have $\Gamma_R^{(4)} = \Gamma^{(4)}$, so from the condition $\lambda_R = -\Gamma_R^{(4)}(0,0,0,0)$ The renormalized coupling is:

$$\lambda_R = \lambda_0 - \frac{3}{2}\lambda_0^2 J_2(m_0) + O(\lambda_0^3)$$

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- The relation between the bare parameters (m_0, λ_0) and the renormalized ones (m_R, λ_R) involves loop integrals J_1 and J_2 .
- For finite lattice spacing, these integrals converge.
- To study the divergences that appear in the continuum limit $(a \rightarrow 0)$, we consider the renormalized mass m_R :

$$m_R^2 = m_0^2 + \frac{\lambda_0}{2} \frac{1}{a^2} J_1(am_0) + \dots$$

Analysis of the Loop Integral $J_1(y)$

The behavior of $J_1(y)$ is needed for small $y = am_0$.

• At y = 0, the integral converges to a constant:

$$J_1(0) = \int_{-\pi}^{\pi} \frac{d^4 q}{(2\pi)^4} \left(4 \sum_{\mu=1}^4 \sin^2 \frac{q_{\mu}}{2} \right)^{-1} \equiv r_0 \approx 0.154933390$$

• Near this point the corrections are obtained with the help of the decomposition

$$rac{1}{m_0^2+\hat{q}^2}=rac{1}{\hat{q}^2}-rac{m_0^2}{\hat{q}^2\left(m_0^2+\hat{q}^2
ight)}$$

• For small y, a logarithmic singularity appears:

$$J_1(y) = r_0 + y^2 \left\{ \frac{1}{16\pi^2} \ln y^2 + r_1 + O\left(y^2\right) \right\}$$

with the constant $r_1 \approx -0.030345755$.

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Divergences in Renormalized Mass

 In the limit a → 0, the renormalized mass contains a quadratic and a logarithmic divergence:

$$m_R^2 = m_0^2 + \frac{\lambda_0}{2} \frac{r_0}{a^2} + \frac{\lambda_0}{32\pi^2} m_0^2 \ln\left(a^2 m_0^2\right) + \frac{\lambda_0}{2} r_1 m_0^2 + \dots$$

• In lattice units, however, the equation

$$(am_R)^2 = (am_0)^2 + \frac{\lambda_0}{2}r_0 + \frac{\lambda_0}{32\pi^2}(am_0)^2\ln(a^2m_0^2) + \frac{\lambda_0}{2}r_1(am_0)^2 + \dots$$

does not contain any divergent terms.

• **Tuning Condition**: In lattice units, this equation implies that for am_R to be finite in the continuum limit, the bare mass parameter must be tuned:

$$(am_0)^2 \rightarrow -\frac{\lambda_0}{2}r_0 + O\left(\lambda_0^2\right)$$

The Integral $J_2(y)$ and Renormalized Coupling

The behavior of $J_2(y)$ for small y is found via the recursion relation:

$$J_{n+1}(y) = -\frac{1}{n}\frac{d}{d(y^2)}J_n(y)$$

This gives:

$$J_2(y) = -r_1 - \frac{1}{16\pi^2} \left(1 + \ln y^2\right) + O\left(y^2\right)$$

This implies a logarithmic divergence in the renormalized coupling λ_R :

$$\lambda_R = \lambda_0 + rac{3}{32\pi^2}\lambda_0^2 \ln\left(a^2m_0^2\right) + rac{3}{2}\lambda_0^2\left(rac{1}{16\pi^2} + r_1
ight) + \dots$$

Renormalized Perturbation Theory

 We now have relations between bare (m₀, λ₀) and renormalized (m_R, λ_R) parameters. We can invert them order by order:

$$m_0^2 = m_R^2 - \frac{\lambda_R}{2} J_1(m_R) + O(\lambda_R^2)$$
$$\lambda_0 = \lambda_R + \frac{3}{2} \lambda_R^2 J_2(m_R) + O(\lambda_R^3)$$

- We substitute these expressions back into our calculations for any Green's function. This procedure is called **renormalized perturbation theory**.
- For example, the 2-point function becomes:

$$\tilde{G}_R(p)^{-1} = (\hat{p}^2 + m_R^2)$$

Renormalized Perturbation Theory

• The 4-point function becomes:

$$egin{aligned} &\Gamma_R^{(4)}(p_1,p_2,p_3,p_4) = -\lambda_R + rac{\lambda_R^2}{2} [l_2'(m_R,p_1+p_2) + l_2'(m_R,p_1+p_3) \ &+ l_2'(m_R,p_1+p_4)] + O\left(\lambda_R^3
ight), \end{aligned}$$

where

$$J_2'(m_R,p)\equiv J_2'(m_R,p)-J_2(m_R,p)=\int_q \left(ilde{\Delta}(q) ilde{\Delta}(p-q)- ilde{\Delta}^2(q)
ight)$$

• The result is a power series in the finite, physical coupling λ_R . The divergences as $a \rightarrow 0$ are now hidden inside the bare parameters, and the final expressions for physical observables are finite.

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The RGE and the Beta Function

- **The Core Principle:** Physical reality cannot depend on our choice of regulator or the arbitrary energy scale at which we defined our renormalized parameters.
- The **Renormalization Group Equation (RGE)** tells us how our renormalized coupling constant must change with energy scale to keep physics constant.
- This change is quantified by the beta function:

$$\beta(\lambda_R) = m_R \frac{\partial \lambda_R}{\partial m_R} \bigg|_{\lambda_0}$$

Deriving the Beta Function

- We start with our relation: $\lambda_R = \lambda_0 \frac{3}{2}\lambda_0^2 J_2(m_0)$.
- Differentiate with respect to m_0 at fixed λ_0 :

$$\frac{\partial \lambda_R}{\partial m_0} = -\frac{3}{2}\lambda_0^2 \frac{\partial J_2(m_0)}{\partial m_0} = -\frac{3}{2}\lambda_0^2 \left(2m_0 \frac{dJ_2(m_0)}{d(m_0^2)}\right) = 6\lambda_0^2 m_0 J_3(m_0)$$

(Here we used the identity $J_{n+1}(y) = -\frac{1}{n} \frac{d}{d(y^2)} J_n(y)$).

• Using the chain rule, $\beta = m_R \frac{\partial \lambda_R}{\partial m_0} \left(\frac{\partial m_R}{\partial m_0} \right)^{-1}$, and the fact that $\frac{\partial m_R}{\partial m_0} \approx \frac{m_R}{m_0}$ at this order, we get the **lattice beta function**:

$$\beta(\lambda_R, m_R) = 6\lambda_R^2 m_R^2 J_3(m_R) + O(\lambda_R^3)$$

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The Continuum Limit and Physical Meaning

- Our calculated β(λ_R, m_R) explicitly depends on am_R. This is a lattice artifact known as a scaling violation. A physical beta function should only depend on the coupling itself.
- To get the physical result, we must take the continuum limit, $a \rightarrow 0$. We analyze the behavior of the integral J_3 in this limit.
- In the limit $y \to 0$, we have that $y^2 J_3(y) \to \frac{1}{32\pi^2}$.
- This gives the celebrated continuum one-loop beta function for ϕ^4 theory:

$$\beta(\lambda_R) = 6\lambda_R^2 \left(\frac{1}{32\pi^2}\right) + O(\lambda_R^3) = \frac{3}{16\pi^2}\lambda_R^2 + O(\lambda_R^3)$$

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Summary and Key Takeaways

- Lattice Regularization provides non-perturbative definition of quantum field theory by discretizing spacetime, which introduces a natural UV cutoff.
- The framework allows for systematic perturbative calculations where divergences appear as the lattice spacing a → 0.
- For ϕ^4 theory, these divergences can be absorbed by tuning the bare parameters (m_0, λ_0) . This process of absorption is called **renormalization**
- The theory defined by finite, physical parameters (m_R, λ_R) has a well-behaved continuum limit, and universal quantities like the β-function can be recovered.

• The Bridge to Non-Perturbative Physics: The main purpose of the lattice is for non-perturbative simulations (e.g., Lattice QCD). Perturbative calculations like the one we've seen are essential. They provide a crucial cross-check in the weak-coupling regime, allowing us to verify that our complex numerical codes are producing correct results. This matching between perturbative and non-perturbative results is a cornerstone of confidence in lattice simulations.